

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

An Integrative Method for Modeling Multiple Asset Classes

the specification of which (check one) ☒ is attached hereto, or _____ was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed
Yes No

Number Country Day/Month/Year Filed

Number Country Day/Month/Year Filed

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

MICHAEL A. GLENN, Reg. No. 30,176
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SEND CORRESPONDENCE TO:

MICHAEL A. GLENN, 3475 Edison Way, Ste. L, Menlo Park, CA 94025

I hereby claim the benefit under Title 35, United States code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Ser. No. _____ Filing Date _____ Status: Patented, Pending, Abandoned _____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: DANIEL STEFEK

Inventor's signature *Daniel Stefek* 6/02/01
Date

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Full name of second inventor: LISA ROBIN GOLDBERG

Inventor's signature *Lisa Robin Goldberg* 1 June 2001
Date

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Full name of third inventor: SCOTT STEVEN SCHEFFLER

Inventor's signature *Scott S. Scheffler* June 1, 2001
Date

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Full name of forth inventor: KEN CHORLAM HUI
Inventor's signature *Ken Chorlam Hui* June 25, 2001
Residence 1750 Kofman Parkway Alameda, California 94502 Date
Post Office Address Same
Citizenship United States of America

Full name of fifth inventor: NICOLAS GOODRICH TORRE
Inventor's signature *Nic Goodrich Torre* June 19, 2001
Residence 116 Havemeyer Place, Greenwich, Connecticut 06830 Date
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